INFORMATION FOR ETHICS COMMITTEES AND SPONSORS ON THE INFORMED CONSENT PROCEDURE IN TRIALS ONGOING AT OR COMPLETED BEFORE 25TH MAY 2018.

From May 25 2018 onwards the EU General Data Protection Regulation (GDPR)¹ is effective. This also implies additional requirements on the collection and use of personal data in clinical trials. The Belgian Association of Research Ethics Committees (BAREC), and the Clinical Trial College (CT-College) received a lot of questions from sponsors and ethics committees on the implementation of the GDPR in the Informed Consent procedure of ongoing and completed clinical trials. Please find below some frequently asked questions and the recommendations which were adopted at the meeting of 28/08/2018 of the Workgroup on Informed Consent Form.

Please note that the application of the patient rights will depend on the publication of the Belgian law which has been approved on 18/7/2018.)

This document will be published on the website of the CT-College.

Who is responsible for the GDPR compliancy check of the trial dossier?

The responsibility for the GDPR compliancy check of the clinical trial dossier lies with the data controller of the trial, *i.e.* the sponsor (and its data protection officer) or in case of joint controlling, the sponsor and the investigator. The ethics committee that evaluates a substantial modification for an ongoing trial, in particular if this includes modifications of the informed consent form, has to verify the compliance of these documents with the new regulation. However the ethics committee is not obliged to proactively write the data controller(s) of ongoing trials to take action with regard to GDPR.

Will the templates for Informed Consent Form (ICF) available on the website of the FAMHP be adapted with the new regulation on personal data processing?

Yes, the templates for ICF are being revised by a Workgroup consisting of representatives of ethics committees, Barec, pharma.be and patient organizations. As soon as available, the revised templates will be published on the website of the CT-College.

<u>Does</u> supplementary information needs to be provided to participants of ongoing or completed trials?

- <u>No</u> further information <u>needs</u> to be provided to participants for which data collection has been completed before 25th May 2018.
- Supplementary information <u>needs</u> to be provided to participants that have been included in ongoing trials before or after 25th May 2018 and for which new personal data will be collected after 25th May 2018.

If applicable, and if not yet included in the signed ICF, <u>which</u> supplementary information needs to be provided to participants?

If not yet available in the signed ICF, the following information needs to be provided:

- a clear definition of "your personal data" as "name, initials, address, information on your health and medical condition including your medical history but also some of your background (e.g. your age, sex, and ethnic origin) and the results of examinations required by the trial".
- the right to request information on and a copy of "your personal data"
- the right to request a correction of "your personal data"
- if applicable, the right to request deletion of "your personal data"
- name and contact details of the data processor, i.e. the investigator
- the legal base for data processing
- name of the data controller
- name and contact details of the data protection officer of the site where the trial is conducted
- name and contact details of the Belgian Data Protection Authority
- the right to file a complaint about the processing of your personal data to the Belgian Data Protection Authority
- a list of persons subject to professional secrecy, that are allowed to examine
 information in "your medical records relevant for the trial", this to enable them
 to verify the quality of the trial,
 including that if the person resides in a non-EU country where the standards in
 terms of the protection of personal data are different or less stringent, the
 sponsor will respect the constraints of the European regulation and the
 Belgian legislation on the protection of privacy, and will ensure equivalent
 guaranties for the transfer of the trial data.
- the (legal) retention period of "your personal data" and possible archiving purposes
- the intention of further processing of "your personal data" and the purpose for this secondary processing

If applicable, <u>how</u> should supplementary information be provided to participants of trials?

Depending on the legal base² for data processing, the supplementary information described above should be provided to participants via:

- an information leaflet which is not signed by the participant, or
- an information leaflet which is signed by the participant for acknowledgement, or
- an adapted ICF, in which is clearly indicated which parts of the document have changed, and which should be signed by the participant.

An example of an information leaflet is provided in the <u>annex</u> to this document³.

If applicable, <u>when</u> should supplementary information be provided to the evaluating EC?

The supplementary information needs to be provided at the latest at

- the next substantial modification or
- the next progress report (to be provided within 1 year after the trial start and each year thereafter as long as the trial is active), whichever comes first.

After approval by or notification to the EC, the supplementary information is provided to the participant.

The recommendations above were adopted during the meeting of the Workgroup Informed Consent Form of 28/08/2018

Participants present at this meeting:

A. Lintermans (Vlaams Patiënten Platform), E. Claes represented by L. Seghers (EC UZ Leuven), B. De Brabant (EC Institut Jules Bordet), B. Vanderhaegen (Barec), A. Van Scharen (VUB & EC UZ Brussel), N. Lambot (pharma.be), P. Terneven (Sanofi), G. Di Matteo (Pfizer), L. Marynen (FPS Health, Food Chain Safety and Environment, CT-College), K. Anciaux (FPS Health, Food Chain Safety and Environment, CT-College)

REFERENCES

¹ General Data Protection Regulation No 2016/679 of the European Parliament and of the council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC.

² If legal base is "consent", a signature is necessary, if legal base is "public interest", a signature is not obliged.

³ The template provided in annex is a modified version of the template letter that is proposed by the EC Onderzoek UZ KULeuven to the Sponsor to use in case they prefer to inform the patients about the GDPR.